

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Lee et al.

Serial No.: 10/812,526

Examiner: Makiya, David J

Filing Date: March 29, 2004

Group Art Unit: 2875

Title: CERAMIC PACKAGING FOR HIGH BRIGHTNESS LED DEVICES

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (a) ☐ Under 37 CFR 1.97(b).
(Within three months of filing national application; or date of entry of national application; or before mailing date of first Office action on the merits; whichever occurs last).
- (b) ☒ Under 37 CFR 1.97(c) together with *either* a:
☐ Statement under CFR 1.97(e), or
☒ \$180.00 fee under 37 CFR 1.17(p).
(After the CFR 1.97(b) time period, but before a final action or notice of allowance, whichever occurs first).
- (c) ☐ Under 37 CFR 1.97(d) together with: a
Statement under 37 CFR 1.97(e), *and*
\$180.00 fee as set forth in 37 CFR 1.17(p).
(After a final action or notice of allowance, whichever occurs first, but before payment of the issue fee).

☐ STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

- ☐ Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, *or*
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☐ PRIOR APPLICATIONS

References identified with an asterisk (*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. _____, filed _____, now U.S. Patent No. _____, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☒ FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

☒ **FEE AUTHORIZATION**

Please charge to Deposit Account **50-3718** the sum of \$180.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-3718** pursuant to 37 CFR 1.25.

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☐ I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Date of Facsimile:

Typed Name: Joy Reinhart

Signature: 

Respectfully submitted,

Lee et al.

By 

Jay K. Malkin
Attorney/Agent for Applicant(s)

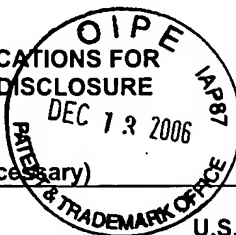
Reg. No. 31,393

Date: 12/8/06

Telephone No. (303) 298-9888

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

(Use several sheets if necessary)



ATTORNEY DOCKET NO.

70030845-1

SERIAL NO.

10/812,526

APPLICANT

Lee et al.

FILING DATE

March 29, 2004

GROUP

2875

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		1,340,443	May 18, 1920	Gleason
		3,821,590	June 28, 1974	Kosman et al.
		4,600,977	July 15, 1986	Barlian et al.
		6,186,649	February 13, 2001	Zou et al.
		6,707,069	March 16, 2004	Song et al.
		6,715,901	April 6, 2004	Huang
		6,897,490	May 24, 2005	Brunner et al.

FOREIGN PATENT DOCUMENT

	DOCUMENT NUMBER	DATE	NAME	TRANSLATION YES NO	
	DE 10227515A1	July 10, 2003	Song et al.		X
	JP 60-262476	December 25, 1985	Nakamura (w/English Abstract)		X
	JP 9-45965	February 14, 1997	Izuno et al.	X	
	JP 10-107322	April 24, 1998	Nagamine et al.	X	
	JP 10-215001	August 11, 1998	Nagamine	X	

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	German Office Action dated August 18, 2005 involving related German application no. 10 2004 041 211.1-33
	English translation of German Office Action dated August 18, 2005 involving related German application no. 10 2004 041 211.1-33
	UK Search Report dated January 7, 2005 involving related UK application no. GB0420449.1

EXAMINER

DATE CONSIDERED

* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)

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FOREIGN PATENT DOCUMENT

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION YES	NO
		JP 2002-232017 A	August 16, 2002	Kyocera (w/English Abstract)		X

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

DATE CONSIDERED

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